

KC, MO EMPLOYER

ARIS SOLUTIONS White River Junction, VT 05001 Phone 866.970.3301 Fax 802.295.9812 veteranpayroll@arissolutions.org

Financial & Payroll Services for the Nonprofit Sector

Enrollment Forms for:

VDC Program Employers

This packet contains the necessary forms and instructions that will authorize ARIS Solutions to act in your behalf as your Financial Management Service provider.

BELOW FORMS MUST BE SIGNED/DATED AND RETURNED TO ARIS SOLUTIONS

- Employer / Veteran Information Form
- □ Form SS-4 Application for Employer Identification Number
 - Form SS-4 allows ARIS to request a Federal Employer Identification Number from the IRS for you.
- □ Workers Compensation Application (if applicable)
- □ Form 2678 Employer/Payer Appointment of Agent
 - Allows ARIS to file your employment tax forms.
- □ Form 8821- Tax Information Authorization
 - ✤ Allows ARIS to receive & review copies of tax filings from the IRS.
- State Tax Forms
 - State Department of Revenue (if applicable)
 - ✤ State Department of Labor
- City Tax Forms
- ✤ Allows ARIS to file Kansas City, MO city tax.
- Employer Confirmation of Receipt
- Fraud & Abuse Statement
- □ Employer/Authorized Representative Background Check Release From
- □ HIPAA Notice of Privacy Practices & Agreement
- □ Electronic Timesheet Submission: (2 different options)
 - Electronic Timesheets Application. Followed by instructions on Electronic Timesheets.
 - Timesheet Submission Portal and applicable information.

If you have questions contact the Veterans Department at 866.970.3301

Return Packet to: ARIS Solutions-Veteran Program

PO Box 4409 White River Jct., VT 05001 Phone: 866.970.3301 (toll free) Fax: 802.295.9812 Email: veteranpayroll@arissolutions.org VDC-EMPLOYER



New Employer/Veteran Information

You are now an Employer!

Welcome to the Veteran Directed Care Program employment model. You will now manage and direct the services you receive or the services the Veteran you represent receives. In this employer model you, or a representative who you appoint, are the employer and you direct the work of your employee.

The Role of ARIS Solutions as Your Financial Management Services "FMS" Provider

ARIS Solutions will serve as your FMS Provider to support you and complete many of the administrative employer obligations. This means that ARIS will process your timesheets, conduct criminal background checks on potential employees manage your employer tax responsibilities on the federal and state level, apply for workers compensation insurance, and pay your employees.

Your Role (as Employer)	Employee's Role (as Employee)	ARIS Solutions' Role (as FMS Provider)
Select and hire an employee	Meet your requirements for hiring	Assist with paperwork, as needed
Schedule employees (staying within your authorized budget)	Complete required employment paperwork	Establish you as an employer
Train employees	Submit a background check	Establish your worker as your employee
Sign timesheets Review employees job	Submit signed timesheets to ARIS	Conduct criminal background checks
performance		
Dismiss employees	Respect employer's boundaries, rules and responsibilities	Provide payroll services Prepare and disburse payroll checks
Establish clear boundaries	Provide home care services to	
Let your employee know what the rules are and what their	your employer as directed by your employer	Pay employer taxes
responsibilities are	your employer	Prepare year-end tax reports
Prevent fraud	Prevent fraud	Apply for and secure Workers Compensation insurance on behalf of the employer

Roles and Responsibilities Chart



Contact Information

You can remove this page from the packet and post it somewhere prominent so you always have the information you need to contact the Veterans Program team.

ARIS Solutions-Veteran Program staff are available for support Monday through Friday from 8:00 am to 4:00pm (EST) and can be reached at **866.970.3301** (toll free), our veteran dedicated email address: <u>veteranpayroll@arissolutions.org</u> or our Website at <u>www.arissolutions.org</u>

ARIS Solutions is not open on state or federal holidays.

Financial & Payroll Services for the Nonprofit Sector



NAME OF EMPLOYER

Name			<i></i>	
(Last)		(First)	(Mi	ddle)
(Street)	(Apt)	(City)	(State)	(Zip)
hone ()	Email			
OB//	Social Security Nu	mber		
ENDER				
EIN (If previously issued)		_		
_				
Relationship to Veteran				
eteran IS EMPLOYER	YES	NO		
	<u>res</u> please skip next se	ction.		
ASE MANAGER / OPTIONS				
ARE COORDINATOR/ PER				
NAM	E OF VETERAN (if	Veteran is NC	OT the emplo	ver)
Name		G	ENDER	
ddress				
(Street)	(APT)	(City)	(State)	(Zip)
hone ()				
ate of Birth				
Social Security Number				

olication	f
ise by employ	ers

SS-4

(Rev. December 2023)

1

Signature

Department of the Treasury Internal Revenue Service

Form

App

Application for Employer Identification Number (For use by employers, corporations, partnerships, trusts, estates, churches, government agencies, Indian tribal entities, certain individuals, and others.) (For u See separate instructions for each line. Keep a copy for your records. Go to www.irs.gov/FormSS4 for instructions and the latest information. Legal name of entity (or individual) for whom the EIN is being requested

OMB No. 1545-0003

EIN

arly.	2 Tra	de name of business (if different from name on line 1)	3 E	Executor, administrator, trustee, "care of" name
nt cle		iling address (room, apt., suite no. and street, or P.O. box) RIS Solutions PO Box 4409	5a 5	Street address (if different) (Don't enter a P.O. box.)
Type or print clearly		y, state, and ZIP code (if foreign, see instructions) River Jct., VT 05001	5b (City, state, and ZIP code (if foreign, see instructions)
Lype	6 Co	unty and state where principal business is located		
•	7a Na	me of responsible party		(7b SSN, ITIN, or EIN)
8a		pplication for a limited liability company (LLC) eign equivalent)?	x No	8b If 8a is "Yes," enter the number of LLC members
8c		Yes," was the LLC organized in the United States?		
<u>9a</u>		entity (check only one box). Caution: If 8a is "Yes," see th		
34		e proprietor (SSN)		
		tnership		
	_	poration (enter form number to be filed)		Plan administrator (TIN) Trust (TIN of grantor)
		sonal service corporation		☐ Military/National Guard ☐ State/local government
	_	urch or church-controlled organization		□ Farmers' cooperative □ Federal government
		er nonprofit organization (specify) Other		REMIC Indian tribal governments/enterprise
		ecify) HCSR		
9b		poration, name the state or foreign country (if State		Group Exemption Number (GEN) if any Foreign country
90		ble) where incorporated	-	
10			anking	purpose (specify purpose)
10			-	d type of organization (specify new type)
				ed going business
		1		a trust (specify type)
				a pension plan (specify type)
		er (specify)	noutou	
11		siness started or acquired (month, day, year). See instructi	ons.	12 Closing month of accounting year
				14 If you expect your employment tax liability to be \$1,000 or less
13		number of employees expected in the next 12 months (enter - aployees expected, skip line 14.	0- if non	
	n no en	ipioyees expected, skip line 14.		tax liability will generally be \$1,000 or less if you expect to pay
	A	gricultural Household Other		\$5,000 or less, \$6,536 or less if you're in a U.S. territory, in tot wages.) If you don't check this box, you must file Form 941 for
15	Eirct d-	to wagoo or appuition ware poid (month day, were)		every quarter.
15		dent alien (month, day, year)		
16		ne box that best describes the principal activity of your busin		Health care & social assistance Wholesale—agent/broker
10		Instruction Rental & leasing Transportation & warehou		□ Accommodation & food service □ Wholesale—agent/bloker
		al estate Annual & leasing Finance & insurance	JSIIIY	Other (specify)
17		principal line of merchandise sold, specific construction w	ork dor	
	maloate			
18	Has the	applicant entity shown on line 1 ever applied for and recei	ved an	EIN? Yes No
	If "Yes,'	' write previous EIN here		
			dividual t	to receive the entity's EIN and answer questions about the completion of this form
Thir		Designee's name		Designee's telephone number (include area cod
Par		ARIS Solutions Fiscal Agent		866.970.3301
Des	ignee	Address and ZIP code		Designee's fax number (include area code)
		PO Box 4409 White River Jct., VT 05001		802.295.9812
		perjury, I declare that I have examined this application, and to the best of my kn	owledge a	and belief, it is true, correct, and complete. Applicant's telephone number (include area cod
Name	e and title (type or print clearly)		

For Privacy Act and Paperwork Reduction Act Notice, see separate instructions.

Date

Applicant's fax number (include area code)



VDC Missouri Workers' Compensation Form
Employer Legal Name:
Employer Date of Birth:
Veteran name (if different than Employer name):
Relationship to Veteran: \Box Spouse \Box Child \Box Sibling \Box Other (specify):
relationship to veteral. — Spouse— enna — Storing — Other (speeny).
Employer FEIN # :
Employer Phone:
Street Address (where service is provided):
City, State, ZIP(where service is provided):
Estimated Number of Employees:
Eull Times
Full Time: Part Time:
Estimated Annual Payroll:
Effective Date of Coverage (start date):
Employer Signature and Date:
te- Worker's Compensation is required in Missouri if you have five (5) or more employees. Otherwise this form

Note- Worker's Compensation is required in Missouri if you have five (5) or more employees. Otherwise this form is OPTIONAL. However, in the event of an injury of your caregiver, you as the employer are potentially incurring great risk by not opting in to securing Worker's Compensation Insurance.

INDIVIDUALS/INCEMPED/EXCLUDED

P/	ARTNERS, OFFICERS, RELATIVES TO BE INCLUDED OR EXCLUDED. (Remuneration to be included must be part of rating information section.)							
#	NAME	DATE OF BIRTH	TITLE/ RELATIONSHIP	OWNER- SHIP %	DUTIES	INC/EXC	CLASS CODE	REMUNERATION
			Owner	100%		Excl	8835	0

PRIOR CARRIER INFORMATION/LOSS HISTORY

ROVIDE IN	FORMATION FOR THE PAST 5 YEARS AND USE THE REMARKS SECTIO	LOSS RUN ATTACI	LOSS RUN ATTACHED			
YEAR	CARRIER & POLICY NUMBER	CARRIER & POLICY NUMBER ANNUAL PREMIUM MOD # C				RESERVE
	CO:					
	POL #:					
	CO:					
	POL #:					
	CO:					
	POL #:					
	CO:					
	POL #:					
	CO:					
	POL #:					

NATURE OF BUSINESS/DESCRIPTION OF OPERATIONS GIVE COMMENTS AND DESCRIPTIONS OF BUSINESS, OPERATIONS AND PRODUCTS: MANUFACTURING-- RAW MATERIALS, PROCESSES, PRODUCT, EQUIPMENT, CONTRACTOR-- TYPE OF WORK, SUB-CONTRACTS. MERCANTILE--MERCHANDISE, CUSTOMERS, DELIVERIES. SERVICE--TYPE, LOCATION. FARM--ACREAGE, ANIMALS, MACHINERY, SUB-CONTRACTS.

Missouri Veterans Program

GENERAL INFORMATION

EXPLAIN ALL "YES" RESPONSES	YES	NO	EXPLAIN ALL "YES" RESPONSES	YES	NO
1. DOES APPLICANT OWN, OPERATE OR LEASE AIRCRAFT/WATERCRAFT?		\checkmark	16. ARE PHYSICALS REQUIRED AFTER OFFERS OF EMPLOYMENT ARE MADE?		\checkmark
2. DO/HAVE PAST, PRESENT OR DISCONTINUED OPERATIONS INVOLVE(D)			17. ANY OTHER INSURANCE WITH THIS INSURER?		\checkmark
STORING, TREATING, DISCHARGING, APPLYING, DISPOSING, OR TRANSPORTING OF HAZARDOUS MATERIAL? (e.g. landfills, wastes, fuel tanks, etc)		V	18. ANY PRIOR COVERAGE DECLINED/ CANCELLED/NON-RENEWED (Last 3 years)? NOT APPLICABLE IN MO		\checkmark
3. ANY WORK PERFORMED UNDERGROUND OR ABOVE 15 FEET?		\checkmark	19. ARE EMPLOYEE HEALTH PLANS PROVIDED?		\checkmark
4. ANY WORK PERFORMED ON BARGES, VESSELS, DOCKS, BRIDGE OVER WATER?		\checkmark	20. IS THERE A LABOR INTERCHANGE WITH ANY OTHER BUSINESS/SUBSIDIARY?		\checkmark
5. IS APPLICANT ENGAGED IN ANY OTHER TYPE OF BUSINESS?		\checkmark	21. DO YOU LEASE EMPLOYEES TO OR FROM OTHER EMPLOYERS?		\checkmark
6. ARE SUB-CONTRACTORS USED? (IF YES, GIVE % OF WORK SUBCONTRACTED)		\checkmark	22. DO ANY EMPLOYEES PREDOMINANTLY WORK AT HOME?		\checkmark
7. ANY WORK SUBLET WITHOUT CERTIFICATES OF INS.?		\checkmark	23. ANY TAX LIENS OR BANKRUPTCY WITHIN THE LAST 5 YEARS?		\checkmark
8. IS A WRITTEN SAFETY PROGRAM IN OPERATION?		\checkmark	24. ANY UNDISPUTED AND UNPAID WORKERS COMPENSATION PREMIUM DUE FROM YOU OR ANY COMMONLY MANAGED OR OWNED ENTERPRISES? IF YES, EXPLAIN	\square	1
9. ANY GROUP TRANSPORTATION PROVIDED?		\checkmark	INCLUDING ENTITIY NAME(S) AND POLICY NUMBERS(S). CONTACT INFORMATION		
10. ANY EMPLOYEES UNDER 16 OR OVER 60 YEARS OF AGE?		\checkmark	IN- PHONE: 3 3 0 1		
11. ANY SEASONAL EMPLOYEES?		\checkmark	SPECTION NAME:		
12. IS THERE ANY VOLUNTEER OR DONATED LABOR?		\checkmark	ACCTNG PHONE: 802-281-7836		
13. ANY EMPLOYEES WITH PHYSICAL HANDICAPS?		\checkmark	RECORD NAME: Theresa Danforth		
14. DO EMPLOYEES TRAVEL OUT OF STATE?		\checkmark	CLAIMS PHONE: 802-281-7836		
15. ARE ATHLETIC TEAMS SPONSORED?		\checkmark	INFO NAME: Theresa Danforth		
APPLICABLE IN TENNESSEE: IT IS A CRIME TO KNOWINGLY PROVIDE FAL PENSATION TRANSACTION FOR THE PURPOSE OF COMMITTING FRAUD					
ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY I OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INF CERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT I [NY: SUBSTANTIAL] CIVIL PENALTIES. (Not applicable in CO, HI, NE, OH, OK	ORN	/ATI	ON, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATIC ICE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMIN	ON C	CON-
REMARKS			Are cancer treatments provided?	No	
Does insured have any locations outside of this state?	No		Do they give immunizations or shots?	No	
Is travel radius greater than 200 miles?	No		Do they take safety precautions with pregnant employees? Do they have procedures for reporting unsafe conditions?	No No	
Are operations 24 hours?	No		Are all clients/patients ambulatory (ie: able to walk on their own)?	No	
			Are an orenterpatients amputatory (ie. able to wait on their own)?	NU	
APPLICANT'S SIGNATURE DATE		PRO	DUCER'S SIGNATURE NATIONAL PRODUCEF	NUN	IBER
Note- Worker's Compensation is required in Missouri if you have	e fiv		5) or more employees. Otherwise this form is OPTIONAL. How	veve	er, ir

the event of an injury of your caregiver, you as the employer are potentially incurring great risk by not opting in to securing Worker's Compensation Insurance.

Employer/Payer Appointment of Agent

(Rev. December 2023) Department of the Treasury - Internal Revenue Service

OMB No. 1545-0748

dep	this form if you want to request approval to has one payments of employment or other worke an existing appointment.	0			IS use:		
a	 If you're an employer or payer who wants to request approval, complete Parts 1 and 2 and sign Part 2. Then give it to the agent. Have the agent complete Part 3 and sign it. 						
	Note: This appointment isn't effective until we approve your request. See the instructions for more information.						
	you're an employer, payer, or agent who wants omplete all three parts. In this case, only one signates the second se		ointment,				
_	art 1: Why you're filing this form.						
x	eck one) You want to appoint an agent for tax reporting, dep You want to revoke an existing appointment.	ositing, and paying.					
Pa	art 2: Employer or Payer Information: Complete	e this part if you want to ap	point an	agent or r	evoke an	appointment.	
1	Employer identification number (EIN)]	
2	Employer's or payer's name (not your trade name)						
3	Trade name (if any)						
4	Address						
		Number Street				Suite or room number	
		City			State	ZIP code	
		Foreign country name	Foreign pr	ovince/county]	Foreign postal code	
5	Forms for which you want to appoint an agent	or revoke the agent's		For AL	.L	For SOME	
	appointment to file. (Check all that apply.)			employe		employees/	
	Form 940, Employer's Annual Federal Unemploymen	t (FLITΔ) Tax Beturn* (all 9/Ω s		ayees/pay	ments	payees/payments	
	Form 941, Employer's QUARTERLY Federal Tax F		ienes)	x			
	Form 943, Employer's Annual Federal Tax Return for A		series)				
	Form 944, Employer's ANNUAL Federal Tax Retur	· · · · · · · · · · · · · · · · · · ·					
	Form 945, Annual Return of Withheld Federal Inco						
	Form CT-1, Employer's Annual Railroad Retirement Tax Return						
	* Generally, you can't appoint an agent to repo service recipient.		ported or	n Form 940), unless	you're a home care	
	 x Check here if you're a home care service rec for you. See the instructions. 	ipient, and you want to appo	pint the a	gent to repo	ort, depos	sit, and pay FUTA tax	
	I am authorizing the IRS to disclose otherwise con	fidential tax information to th	ne agent r	elating to t	he author	rity granted under this	

appointment, including disclosures required to process Form 2678. The agent may contract with a third party, such as a reporting agent or certified public accountant, to prepare or file the returns covered by this appointment, or to make any required deposits and payments. Such contract may authorize the IRS to disclose confidential tax information of the employer/payer and agent to such third party. If a third party fails to file the returns or make the deposits and payments, the agent and employer/payer remain liable.

Sign your	Print your name here	
Sign your name here	Print your title here	
Date / /	Best daytime phone	
	Now give this form to the agent to co	omplete

VDC-EMPLOYER

Taxpayer name and address

(Rev. January 2021) Department of the Treasury Internal Revenue Service

Tax Information Authorization

Go to www.irs.gov/Form8821 for instructions and the latest information.
 Don't sign this form unless all applicable lines have been completed.
 Don't use Form 8821 to request copies of your tax returns or to authorize someone to represent you. See instructions.

 OMB No. 1545-1165

 For IRS Use Only

 Received by:

 Name

 Telephone

 Function

 Date

1 Taxpayer information. Taxpayer must sign and date this form on line 6.

Taxnaver	identification	number(~
Taxpayer	Identification	nunben	0

Daytime telephone number | Plan number (if applicable)

2 Designee(s). If you wish to name more than two designees, attach a list to this form. Check here if a list of additional designees is attached ►

Name and address	CAF No. 0313-84964R
ARIS Solutions	PTIN
PO Box 4409	Telephone No. 866.970.3301
White River Jct., VT 05001	Fax No. 802.295.9812
Check if to be sent copies of notices and communications	Check if new: Address 🗌 Telephone No. 🗌 Fax No. 🗌
Name and address	CAF No.
	PTIN
	Telephone No.
	Fax No.
Check if to be sent copies of notices and communications	Check if new: Address 🗌 Telephone No. 🗌 Fax No. 🗌

- **3** Tax information. Each designee is authorized to inspect and/or receive confidential tax information for the type of tax, forms, periods, and specific matters you list below. See the line 3 instructions.
 - I By checking here, I authorize access to my IRS records via an Intermediate Service Provider.

(a) Type of Tax Information (Income, Employment, Payroll, Excise, Estate, Gift, Civil Penalty, Sec. 4980H Payments, etc.)	(b) Tax Form Number (1040, 941, 720, etc.)	(c) Year(s) or Period(s)	(d) Specific Tax Matters
Employment 94	1, 940, 941R, 941X, W2, W3, W2C, SS4	2024-2027	Tax Liability
Authority to obtain existing FEIN	SS4, 8821	2024-2027	Tax Liability

4 Specific use not recorded on the Centralized Authorization File (CAF). If the tax information authorization is for a specific use not recorded on CAF, check this box. See the instructions. If you check this box, skip line 5 ▶ □

- 6 Taxpayer signature. If signed by a corporate officer, partner, guardian, partnership representative (or designated individual, if applicable), executor, receiver, administrator, trustee, or individual other than the taxpayer, I certify that I have the legal authority to execute this form with respect to the tax matters and tax periods shown on line 3 above.

▶ IF NOT COMPLETED, SIGNED, AND DATED, THIS TAX INFORMATION AUTHORIZATION WILL BE RETURNED.

▶ DON'T SIGN THIS FORM IF IT IS BLANK OR INCOMPLETE.

Signature	Date
ognature	_
Print Name	Title (if applicable)

For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

_28	VDC-EMPLOYER Missouri Department of Rever Power of Attorney		tment Use Only
Tax I.D.	er Missouri	Taxpayer Federal Employer I.D. Numbe	
	er Social Number		
Тахрау	All appointed rep	presentatives must sign on reverse	side of this form.
Spous	e's Name or if a dba, state the business name		Spouse's Social Security Number
	Address	I	Missouri Charter Number
City	S	State Zip Code	Telephone Number ()
E-mail	Address		
) Representative(s)	Name of Appointed Representative EMILIE DONKA Telephone Number () Name of Appointed Representative Telephone Number () Telephone Number	Address PO BOX 4409, WHITE RIV JCT, VT E-mail Address EMILIE.DONKA@ARISSOLUT Address E-mail Address Address E-mail Address E-mail Address	
Tax Type(s)	Cigarette or Other Tobacco Products	Corporation Income and Corporation Sales or Use	Franchise Personal Income
Year(s) and Period(s)	Only select one of the following: X All Tax Periods Range of Tax Tax Period Beginning / /	Date of Death (if estate tax) /	'/
Removal of Power		Department shall remain in effect, or all earlier powers of attorney on file torney was granted, date and address,	with the Department are hereby revoked, except the or refer to attached copies of earlier powers of attorney

VDC-EMPLOYER

Under penalties of perjury, I (we) hereby	certify that I (we	e) am (are) the	taxpayer(s)	named herein	or that I ha	ve the authority	to execute this
power of attorney on behalf of the taxpaye	⊧r(s).						

Name	Title (if applicable) Domestic Employer (HCSR)		
Signature	Date (MM/DD/YYYY)	Taxpayer Telephone Number ()	
Name	Title (if applicable)		
Signature	Date (MM/DD/YYYY)	Taxpayer Telephone Number	

Please consult Missouri Regulation <u>12 CSR 10-41.030</u> for any questions about who may serve as an attorney(s)-in-fact and what additional documentation may be required.

I declare that I am aware of Regulation <u>12 CSR 10-41.030</u> and that I am authorized to represent the taxpayers identified above for the tax matters there specified and that I am one of the following:

- 1. a member in good standing of the bar;
- 2. a certified public accountant duly qualified to practice;
- 3. an officer of the taxpayer organization;
- 4. a full-time employee of the taxpayer;

- 5. a fiduciary for the taxpayer;
- 6. an enrolled agent;
- 7. tax preparer, or
- 8. other authorized representative or agent

Note: All appointed representatives must sign below. No digital signatures allowed.

Printed Name of Representative	Signature of I	Representative	Date (MM/DD/YYYY)
Theresa Danforth			//
Designation (Please select number from list above)		Title (if applicable)	
Printed Name of Representative Theresa Danforth Designation (Please select number from list above) 1 2 3 4 5 6	7 🗌 8	Payroll Director	
Printed Name of Representative	Signature of I	Representative	Date (MM/DD/YYYY)
Emilie Donka			///
Designation (Please select number from list above)		Title (if applicable)	
Emilie Donka Designation (Please select number from list above) 1 2 3 4 5 6	7 🗌 8	Tax Specialist	
Printed Name of Representative	Signature of I	Representative	Date (MM/DD/YYYY)
			//
Designation (Please select number from list above)		Title (if applicable)	
	7 🗌 8		
Printed Name of Representative	Signature of I	Representative	Date (MM/DD/YYYY)
			//
Designation (Please select number from list above)		Title (if applicable)	
	7 🗌 8		

Mail to:

(Business Tax) Taxation Division P.O. Box 357 Jefferson City, MO 65105-0357 **Phone:** (573) 751-5860 **Fax:** (573) 522-1722 **E-mail:** <u>businesstaxregister@dor.mo.gov</u> (Personal Tax) Taxation Division P.O. Box 2200 Jefferson City, MO 65105-2200 **Phone:** (573) 751-3505 **Fax:** (573) 751-2195 **E-mail:** income@dor.mo.gov

(Motor Fuel Tax) Taxation Division P.O. Box 300 Jefferson City, MO 65105-0300 **Phone:** (573) 751-2611 **Fax:** (573) 522-1720 **E-mail:** <u>excise@dor.mo.gov</u> (Cigarette or Other Tobacco Products Tax) Taxation Division P.O. Box 811 Jefferson City, MO 65105-0811 Phone: (573) 751-7163 Fax: (573) 522-1720 E-mail: excise@dor.mo.gov

Form 2827 (Revised 04-2018)

Visit <u>http://dor.mo.gov/</u> for additional information.





EMPLOYMENT SECURITY MISSOURI DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS POWER OF ATTORNEY

I. Business/Taxpayer				
Name				
Address	City	State	ZIP Code	
Phone Number	FEIN	UI Tax Number		
II. Does Hereby Appoint	1			
Name of Appointed Representative Emilie Donka at ARIS Solutions		Phone Number 802.281.7813		
Address PO Box 4409	City White River Jct	State VT	ZIP Code 05001	
as attorney(s)-in-fact to represent taxpayer before the Missouri Divi Unemployment Insurance matter(s): Type of Representation <i>(check one)</i> : X UI Tax and Claim Matt		with respect to the	following	
Change employer's official mailing address to that of appointed rep X UI Tax Matters This authorization supersedes and revokes any prior Missouri Division of Employment Securit The authorization does not apply to the Division	UI Claim Matters power of attorney or authorizat ty relating to the subject matter	ion on file with th hereof.	e	
III. Signature of Business Representative/Taxpayer				
Name (printed)	Title Domestic Employer	(HCSR)		
Signature		Date		
IV. Signature of Appointed Representative		·		
Name (printed)TitleEmilie DonkaAssociate Director - Enrollment & Tax				
Signature Date				
V. Mail or fax completed form to: Missouri Division of Employment Security Attn: Liability Unit P.O. Box 59 Jefferson City, MO 65104-0059 Fax Number: 573-751-7483				

IMPORTANT: If needed, call 573-751-3340 for assistance in the translation and understanding of the information in this document.

¡IMPORTANTE!: Si es necesario, llame al 573-751-3340 para asistencia en la traducción y entendimiento de la información en este documento.

Missouri Division of Employment Security is an equal opportunity employer/program. Auxiliary aids and services are available upon request to individuals with disabilities. TDD/TTY: 800-735-2966 Relay Missouri: 711



City of Kansas City, Missouri - Revenue Division **POWER OF ATTORNEY**

Phone: (816) 513-1120

Fax:

E-file:

(816) 513-1075

www.kcmo.org/revenue



MISSOURI

PLEASE TYPE OR PRINT		
TAXPAYER(S) NAME / BUSINESS NAME		SOCIAL SECURITY NO.
NUMBER AND STREET	FEDERAL I.D. NUMBER	
CITY OR TOWN, STATE, ZIP CODE		EMPLOYER WITHHOLDING NO.
HEREBY APPOINTS		
NAME OF APPOINTEE	ADDRESS	
	pefore the City of Kansas City, Missouri, Finance Du	
	rpe(s), form(s) and year(s) to which this form applie	
TYPE OF TAX	TAX FORM NUMBER	YEAR(S) OR PERIOD(S)
(EARNINGS TAX, EMPLOYER WITHHOLDING, BUSINESS LICENSE, ETC.)	(RD-105, RD-108, ETC.)	
Employer Withholding		Q2 2024-forward
	horized, subject to revocation, to receive confidenti- spect to the above specified tax matters, but not th	
checks in payment of any refunds, nor to sign r		
Revenue Division, for the same tax matter and	er powers of attorney on file with the Kansas City, N years or periods covered by this power of attorney d, date and address, or refer to attached copies of	are revoked, except the following



City of Kansas City, Missouri - Revenue Division **POWER OF ATTORNEY**

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KAN	S A	s	CI	Т	Y
MIS	S	0	U	R	I

 Phone:
 (816) 513-1120

 Fax:
 (816) 513-1075

 E-file:
 www.kcmo.org/revenue

SIGNATURE OF OR FOR TAXPAYER(S)

I (we) hereby certify that I (we) am (are) the taxpayer(s) named herein or that I (we) have the authority to execute this power of attorney on behalf of the taxpayer(s).

NAME	TITLE (IF APPLICABLE)
SIGNATURE	DATE
NAME	TITLE (IF APPLICABLE)
SIGNATURE	DATE

DECLARATION OF REPRESENTATIVE

1. a member in good standing of the bar of the highest court of the jurisdiction indicated below;

2. duly qualified to practice as a certified public accountant in the jurisdiction indicated below;

3. officer of the taxpayer organization;

4. a full-time employee of the taxpayer;

5. a fiduciary for the taxpayer;

6. enrolled agent;

7. other _

and that I am authorized to represent the taxpayer identified above for the tax matters there specified.

DESIGNATION	JURISDICTION	SIGNATURE	DATE
(INSERT APPROPRIATE NUMBER FROM ABOVE LIST)	(STATE, ETC.)		

Page 2 of 2

PLEASE SEND COMPLETED FORM(S) TO:



PROGRAM INTEGRITY and FRAUD PREVENTION

Maintaining and improving program integrity is one of the most important aspects of the Veteran Directed Program. Program integrity including fraud prevention is critical to sustaining this program model. Participants, authorized representatives, and providers are vital to preventing fraud and maintaining program integrity.

Fraud and abuse with funds from the Veteran's Administration can cost billions of dollars each year, diverting funds that could otherwise be used for additional services or to assist more people that need care. As a participant, authorized representative, care provider or recipient of funds, you must comply with all State and Federal laws and prevent misuse or fraud of any funds within this programs. Honesty and integrity are expected of all who participate in the Veteran Directed Program.

Examples of Fraud and Abuse Include

- Submitting timesheets for services not actually provided
- Approving/authorizing hours that employees didn't actually work
- Recording more time or stating different times than you actually work
- Changing hours on a timesheet after it has been approved
- Not providing the services the veteran needs
- Falsifying a worker's compensation claim
- Falsifying or misrepresentation on applications or documentation
- Billing for services while in the hospital or other care facility
- Submitting twice for the same service
- Requiring an employee to "share" their paycheck with the employer

Results

Fraud is a felony conviction that can lead to substantial penalties, including imprisonment of up to ten years, or a fine of up to \$1,000 or an amount equal to twice the amount of assistance or benefits wrongfully obtained, or both. If convicted of fraud you may be excluded for a minimum of five years from any employment with a program or facility that receives Medicaid funding.

REPORTING

If you suspect or know of fraud or abuse occurring, it is your duty and responsibility to report this immediately to the Area Agency on Aging and the Veteran's Administration. Or call ARIS Solutions at 866.970.3301 and the proper people will be contacted.



Employer Confirmation of Receipt

I, _____, have read the "Program Integrity and Fraud Prevention" documents provided by ARIS Solutions.

I understand and accept my role or my designated representative's role as an employer in the Veteran Directed Program employment model.

I acknowledge that I am the employer of any employee I may choose to hire to provide home health care service in the Veteran Directed Program employment model.

I understand I am responsible for hiring, firing, training, and supervising my employees, as well as, maintaining program integrity by preventing and reporting fraud.

I understand and acknowledge that as a FMS Provider, ARIS Solutions, **will not** act as the employer of any employee I may choose to hire through this program.

Signed,

Signature of Employer

Date



Employer/Authorized Representative Background Checks

Effective February 1, 2024 any new Employer of Record or Authorized Representative whom is other than the Veteran, are required to undergo and pass a background check in accordance with the Veterans Administration (VA) and state polices as specified by the VDC provided to be designated as a Veteran's representative.

Per VA policy, any representative candidate who has a felony for fraud, abuse or exploitation for an individual may be not authorized as a representative for a Veteran.

Examples of Disqualifying Events as a Result of a Background Check would include:

- 1. A misdemeanor conviction against any individual that involves:
 - a. Physical or sexual assault;
 - b. Violence or exploitation;
 - c. Child pornography;
 - d. Threatening or reckless conduct;
 - e. Theft;
 - f. Fraud;
 - g. Driving under the influence of drugs or alcohol;
 - h. Any other conduct that represents evidence of behavior that could endanger the safety or well-being of an individual.
- 2. A conviction of a felony against an individual.
- 3. Additional factors considered in determining suitability may include, but not limited to:
 - a. Relevance of the crime to the position sought;
 - b. The nature of the work and/or activity to be performed;
 - c. Time elapsed since the conviction;
 - d. Age of the candidate at the time of the offense;
 - e. The number of offenses;
 - f. Whether the individual has pending charges;
 - g. Any relevant evidence of rehabilitation or lack thereof;
 - h. Any other relevant information, including information submitted by the individual or requested by the hiring authority.

Employer/Authorized Representative Background Check Release Form

Veteran Directed Care Program

Care Coordinator / PCC		AAA		
Veteran Demographic Information				
Last Name:		First Name:		
Home Phone:	Cell Phone:		ID # (Last 4 SS#):	
Is Veteran using a Representative?	Yes No	(If no, skip Autho	rized Representative Information)	
Authorize	d Representative	Demographic Info	ormation	
Full Name (If also a POA please attac	h documentation):			
Alias/Maiden Name (if more than one	·):			
Home Phone Number:	Cell Phone:		Work Phone:	
Address:				
Address outside of state within 5 year	rs:			
Date of Birth:	Full S	ocial Security Numbe	er:	

By signing below, I am consenting to reviewing the list of excluded convictions, substantiations, and findings. I understand that ARIS Solutions will conduct background checks on behalf of the Veteran. I understand that the Veteran will be made aware of all findings and that any finding on the list of program background check exclusions will eliminate me from consideration as the Veteran's employer or Authorized Representative.

As so, I authorize ARIS Solutions to perform the following background check(s) on behalf of the Veteran. The cost of these background check(s) will be an expense to the Veterans budget.

* Missouri Criminal History Information Check	*Office of Inspector General Check
---	------------------------------------

Signatures:

Employer/Authorized Representative:

Veteran:

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Date:

Date:



FRAUD & ABUSE STATEMENT

Fraud is defined as **recklessly or purposefully** making false statements or representations to obtain some benefit or payment that you would not be entitled to without those statements or facts. These acts may be committed either for the person's own benefit or for the benefit of someone else. In other words, fraud includes the obtaining of something of value through misrepresentation or concealment of facts. Fraud is committed when a person or business deceives or distorts facts or information to get something they would not be otherwise entitled to. Fraud can range from a solo act to a broad-based operation by an institution or a group. Anyone can commit fraud.

Examples of Medicaid/Veteran Administration Fraud include, but are not limited to:

- Knowingly and/or purposefully filling out an employee timesheet incorrectly for hours or services that were not provided during the times listed or on the day listed;
- Knowingly and/or purposefully allowing the Vendor F/EA FMS-Support Broker entity to bill Medicaid/Veteran Administration for services that were not provided;
- Knowingly and/or purposefully using the Veteran's budget for any other purpose than what has been approved in the Veteran's service plan.
- Knowingly and/or purposefully allowing an employee to document services or hours that were not provided.
- Knowingly and/or purposefully submitting invoices to the Vendor F/EA FMS-Support Broker entity for goods and services that were not provided.
- Knowingly and/or purposefully having the Vendor F/EA FMS-Support Broker entity pay an employee or vendor for goods and/or services actually provided by someone else. (This is also tax fraud.)
- Knowingly and/or purposefully making a "side deal" with an employee to split their pay check with the Veteran or his/her representative. (This is also tax fraud).
- Knowingly or purposefully withholding information from authorities during an investigation
- Knowingly and/or purposely having the Vendor F/EA FMS-Support Broker entity pay for an approved good included in the Veteran's budget, and then return the approved good to get the cash or use it for something else that has not been approved.

Abuse is defined as practices that are inconsistent with sound fiscal, business, or medical practices, and result in an unnecessary cost to Medicaid/Veteran Administration and other programs, or in reimbursement for services that are not medically necessary or fail to meet professionally recognized standards for health care. It also includes recipient practices that result in unnecessary costs to the Medicaid/Veteran Administration program.

Examples of Medicaid/Veteran Administration Abuse include:

- Making errors when filling out the employee's timesheet and not immediately reporting the error to the Vendor F/EA FMS-Support Broker entity to remedy the situation.
- Being late in handing in Veteran/representative-employer related paperwork to the Vendor F/EA FMS-Support Broker entity.

The difference between Fraud and Abuse

Fraud is anything intentionally, purposefully or recklessly done to get something for your own benefit that you normally would not be entitled to. Abuse is anything that wasn't done intentionally or purposefully but was still completed incorrectly for your own benefit and not immediately reported.

Medicaid/Veteran Administration Fraud and Abuse is a crime against all taxpayers and is both a state and federal offense. All reports or allegations of fraud and abuse within the Veteran Directed Home and Community Based Services Program will be referred to the Veteran's Administration for possible criminal investigation. Veteran's suspected of Medicaid/Veteran Administration Fraud or Abuse also face termination from the Veteran Directed Home and Community Based Services Program.

Veteran's Signature	Date
Authorized Representative Signature	Date
FMS Provider Signature	Date

HIPAA NOTICE OF PRIVACY PRACTICES & AGREEMENT

This notice describes how medical information about you may be used and disclosed and how we may obtain access to this information. <u>Please review it carefully & keep for your records</u>.

DEFINITION OF MEDICAL INFORMATION

When <u>ARIS Solutions/ VDC Program</u> refers to medical information, we mean protected health information (PHI). PHI is information that is individually identifiable health information including demographic information collected.

USES AND DISCLOSURES OF PHI

Health Care Operations- Your medical information may be used and disclosed in connection with our health care operational including:

- Case management and care coordination.
- Quality assessment and improvement activities and protocol assessment.
- Reviewing the competence or qualifications of health care professionals, evaluating provider performance, conducting training programs, accreditation, certification activities, and credentialing activities.
- Conducting legal services, compliance programs, fraud and abuse detection
- Business planning and development.

Additional disclosures-PHI may be disclosed;

- To another entity that has relationship with the organization for their health care operations relating to quality improvement and assessment activities, reviewing competence or qualifications of health care professionals.
- To other entities that assist us in conducting our health care operations.

We will not disclose your medical information to those persons or entities unless they agree to keep it protected.



HIPAA NOTICE OF PRIVACY PRACTICES & AGREEMENT continued...

For the Public Benefit- as authorized by law for the following purposes:

- As required by law
- For public health activities, including disease and vital statistic reporting, FDA oversight, and for work related illness or injury
- *To health oversight agencies*
- In response to court and administrative orders
- To avert a serious threat to health and human safety

Your written authorization is required for all other uses and disclosures of your PHI. You may revoke your authorization at any time. However, your revocation will not affect any use or disclosure you permitted to your revocation.

YOUR RIGHTS

Access to your information — You have the right to inspect or obtain a copy of the medical information about you that is contained in a "designated record set". The organization may ask you to submit your request in writing.

Accounting of disclosures – You have the right to receive a list of instances in which we or our associates disclosed your PHI for purposes other than health care operations or those authorized by you.

Confidential Communication – You have the right to request that we communicate with you about your PHI by a different means or at a different location. You make this request in writing.

Amending your PHI – You have the right to request that we amend your PHI contained in the "designated record set" if it is not correct or complete. We may require that this request be in writing.

Complaints – You have the right to file a complaint if you believe your privacy rights have been violated. You may file this complaint with A<u>RIS Solutions/ VDC Program and</u>/or the Secretary of the Department of Health and Human Services. All complaints to ARIS Solutions/ VDC Program must be made in writing. We support your right to protect your PHI.

PLEASE KEEP THIS FOR YOUR RECORDS

HIPAA NOTICE OF PRIVACY PRACTICES & AGREEMENT *PLEASE SIGN/DATE & RETURN TO ARIS SOLUTIONS*

At <u>ARIS Solutions/ VDC Program</u>, we respect the confidentiality of your medical information and will protect information in a responsible manner. We have a privacy program in place that meets the requirements of HIPAA, the government legislation that sets standards for the privacy of medical information.

This notice will be effective for all medical information that we maintain, including medical information we created or received before _____(date) _____(initials)

HIPAA PRIVACY NOTICE ACKNOWLEDGEMENT AND CONSENT

I acknowledge that I have been provided with a notice of privacy practices and have been advised of how health information about me may be used and disclosed by ARIS Solutions/ VDHCBS Program and how may I obtain access to and control of this information.

Signature of Employer





e-Timesheets Registration and Agreement Form

Each Employer and Employee must complete a separate form. If you are filling out this form as an Employee, you (and your Employer) must sign up for e_Timesheets with each Employer that you work for.

Please remember that each Employer and Employee must have individual email addresses (**cannot** share one with any other employer or employee).

Name: Required (Please prin	t clearly)
E-mail Addre Required (Please prin Phone Numb Registering	as: Employee My Employer's name is:
You are also	Required if enrolling as employee of agreeing that: You understand that ARIS Solutions reports suspected fraud to the Office of Attorney General-Medicaid Fraud and Residential Abuse Unit (MFRAU) and will automatically do that, even if the timesheet is sent through e_Timesheets, You will not share your User Name or Password with anyone, You will notify ARIS Solutions immediately if you change your email address, You will notify ARIS Solutions immediately if there is a change in employment status of any employee who uses e_Timesheets, You will notify ARIS Solutions immediately if there is a change in the employer of record for anyone who uses e_Timesheets, and Submitting hours or services that were not worked may be considered Medicaid fraud.
Signature Required Print Name Required Date Required	

About the Electronic Timesheets Module

The Electronic Timesheets Module is a web-based interface through which Consumers, Employers, Representatives and Employees can respectively enter and view relevant timesheet information.

Electronic Timesheets Agreement

In order to use the Electronic Timesheets Submission interface, a Consumer, their Representative or Employer (if applicable) and their Employee must sign an Electronic Timesheets Agreement which states that they both have valid e-mail addresses, and agree to use the electronic timesheets submission interface as a method of submitting time.

Getting Started

- 1. An admin will create a user for the Consumer, Employer, Employee and Representative (if applicable).
- 2. The Consumer, Employer, Employee and Representative (if applicable) will each receive an e-mail alerting them that their account has been set up, and instructions for activating this account. Each user will click a one-time login link that expires after access to set up a password.

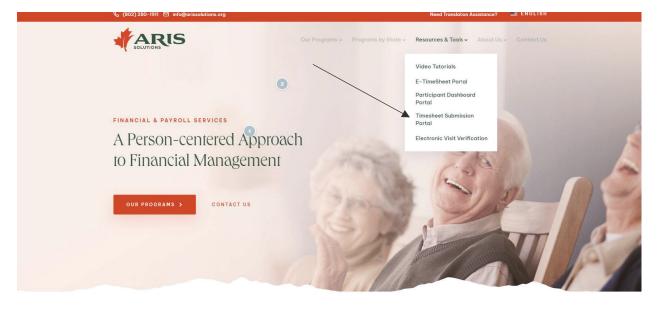
+	ARIS e-Timesheets <etimesheets@annkissam.com> to me</etimesheets@annkissam.com>	Apr 28 (4 days ago) 📩	*	*
	Hello,			
	Your account at the ARIS Electronic Timesheets Submission System is ready and paste the following address into your browser to access the system and		or copy	
	https://aris-etimesheets-staging.annkissamprojects.com/users/confirmation?c	confirmation_token=		
	The system will guide you through the process of submitting timesheets elect	tronically.		
	Please call ARIS at XXX-XXX-XXXX if you have any questions about your ac	count or about using the system.		
	Best regards, ARIS			

3. Each user will be prompted to accept the Terms of Service, and set up a password for their account.

Electronic timesheets user			
Terms of Service	USE OF USER ID AND PASSWORD:		
	1. If you register and/or set up an account on the Electronic Timesheets System Interface, you will be solely responsible for maintaining the confidentiality of your Registration Information. You may not authorize others to use your Registration Information. You may not sub-license, transfer, sell or assign your Registration Information and/or this Agreement to any third party. Any attempt to do so will be null and void and shall be considered a material breach of this Agreement.		
	2. You are solely responsible for all usage or activity on your account including, but not limited to, use of the account by any person who uses your Registration Information, with or without authorization, or who has access to any computer on which your account resides or is accessible.		
	3. If you have reason to believe that your account is no longer secure (for example, in the event of a loss, theft or unauthorized disclosure or use of your Personal Identifiable Information stored on the Electronic Timesheets System Interface), you must promptly change the affected Registration Information by using the appropriate update mechanism on the Electronic Timesheets System Interface, if available, or notify ARIS.		
Please set your password for your	account here.		
New Password			
Confirm Password			
\longrightarrow	I have read and accept the above terms of service.		
	Submit		

4. Once each user accepts the Terms of Service and creates a password, he or she may start using the system.

If you utilize the **Timesheet Submission Portal**, you can find it under the "Resources and Tools" tab on the home page. Please note it now requires a case sensitive password that we have provided below:



Once you click on "Timesheet Submission Portal" you will be brought to this screen:

🗞 (802) 280-1911 🖸 info@arissolutions.org	Need Translation Assistance? 🛛 🚢 ENGLISH
SOLUTIONS	Our Programs 🗸 Programs by State 🗸 Resources & Tools 🗸 About Us 🗸 Contact Us
Resources & Tools > Submit Timesheet Submit Timesheet	
at this time. To view it pleas	rotected and only active for Veteran Directed Care se enter your password below. You should have rd via USPS. If you have not, please call customer

Your password will be:

ArisTime?4409

Then, enter your first and last name and upload the timesheet file. You will receive a unique submission number for that timesheet. Record this number. If you are unsure if the file was successfully submitted, we can be reached at 1.866.970.3301.

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Time sheets are due on Mondays by 11:59pm Eastern Standard Time Due dates do not change if they fall on a holiday. **Time Sheet and Reimbursement Schedule 2024 VDC- AK-DC-KS-MO-MT-NC-PA-VT**

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Pay Period	Pay Period Start Date	Pay Period End Date	Timesheet Submission Due Date	Payment Date
1	12/24/2023	1/6/2024	1/8/2024	1/12/2024
2	1/7/2024	1/20/2024	1/22/2024	1/26/2024
3	1/21/2024	2/3/2024	2/5/2024	2/9/2024
4	2/4/2024	2/17/2024	2/19/2024	2/23/2024
5	2/18/2024	3/2/2024	3/4/2024	3/8/2024
6	3/3/2024	3/16/2024	3/18/2024	3/22/2024
7	3/17/2024	3/30/2024	4/1/2024	4/5/2024
8	3/31/2024	4/13/2024	4/15/2024	4/19/2024
9	4/14/2024	4/27/2024	4/29/2024	5/3/2024
10	4/28/2024	5/11/2024	5/13/2024	5/17/2024
11	5/12/2024	5/25/2024	5/27/2024	5/31/2024
12	5/26/2024	6/8/2024	6/10/2024	6/14/2024
13	6/9/2024	6/22/2024	6/24/2024	6/28/2024
14	6/23/2024	7/6/2024	7/8/2024	7/12/2024
15	7/7/2024	7/20/2024	7/22/2024	7/26/2024
16	7/21/2024	8/3/2024	8/5/2024	8/9/2024
17	8/4/2024	8/17/2024	8/19/2024	8/23/2024
18	8/18/2024	8/31/2024	9/2/2024	9/6/2024
19	9/1/2024	9/14/2024	9/16/2024	9/20/2024
20	9/15/2024	9/28/2024	9/30/2024	10/4/2024
21	9/29/2024	10/12/2024	10/14/2024	10/18/2024
22	10/13/2024	10/26/2024	10/28/2024	11/1/2024
23	10/27/2024	11/9/2024	11/11/2024	11/15/2024
24	11/10/2024	11/23/2024	11/25/2024	11/29/2024
25	11/24/2024	12/7/2024	12/9/2024	12/13/2024
26	12/8/2024	12/21/2024	12/23/2024	12/27/2024
27	12/22/2024	1/4/2025	1/6/2025	1/10/2025
28	1/5/2025	1/18/2025	1/20/2025	1/24/2025
29	1/19/2025	2/1/2025	2/3/2025	2/7/2025

Time sheets, reimbursements, employee paperwork and check requests received by Send to:

ARIS Solutions PO Box 4409 White River Junction, VT 05001 FAX: 1.802.295.9812

Questions? Veterans Department https://arissolutions.org/submit-timesheet/





January 2014

WHAT EMPLOYERS NEED TO KNOW

Author(s): Lucia Cucu, J.D.

Acknowledgements: Lucia Cucu would like to acknowledge Merle Edwards-Orr and Mollie Murphy for their valuable contribution to this document. The detailed review and insightful comments they provided strengthened this resource.

*Special thanks to the Veterans Health Administration (Award #: VA244-P-1554) and Boston College for their generous sponsorship of this work.

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How to Protect Yourself and Your Worker: A Guide for Employers

Being an employer brings not only rights but also responsibilities. This guide describes a few important issues that every employer should know about.

Maintaining a Safe Workplace

It is important to keep your home safe for your employee. Slips and falls are a common cause of injuries, so you should clean up or warn your employee of spills and wet surfaces, and keep stairs and flooring in good repair. If you have pets in your home, make sure they cannot bite or scratch your employee.

Making Hiring and Firing Decisions

Terminating Employees

Do not hesitate to terminate an employee who does not meet your needs. Most employment relationships are considered employment "at will," which means you can terminate an employee for any reason or no reason at all, so long as your reason is not discriminatory, retaliatory (see discussion below) or otherwise unlawful.

Avoiding Promises about the Length of Employment

To avoid a claim for breach of contract, do not make any promises to your employee that you will keep him employed for a certain period of time or that you would only fire him for a specific reason. Remember that a contract does not always have to be in writing to be legally binding. Spoken statements and promises can sometimes create legal obligations.

Avoiding Illegal Discrimination and Retaliation

In many states it is illegal to discriminate against employees based on certain factors, which can include race, color, religion, sex, national origin, marital status, sexual orientation. This means that you must not hire, fire, or harass employees based on such factors. While your employee is with you, be careful not to express any personal opinions that could be interpreted as discriminatory. Even if you are in your own home, the home is considered a workplace while your employee is there, and workplace discrimination and harassment are prohibited by law.

Do not allow friends or family to behave in ways that could be considered discriminatory or harassing towards your employee. As the employer, you could be held responsible for their behavior if you allow it to continue.

Sexual harassment is also illegal. It includes unwelcome sexual advances that can be physical or verbal, such as offensive comments or gestures that create a hostile environment. Remember that the harasser can be someone other than the employer, such as a guest visiting your home or someone who lives with you.

What Employers Need to Know

It is also illegal to fire employees in retaliation for reporting a crime or irregularity. For example, if an employee believes that an employer is misusing Medicaid funds and reports it to the authorities, it would be illegal to fire the employee in retaliation.

Providing References for Former Employees

Be careful when talking about your reasons for terminating employees, because you could risk a claim of discrimination or defamation (saying things about the employee who harms them). If you are asked for a reference about a former employee and cannot provide a positive one, it is safest not to provide a reference at all.

What Family Members and Authorized Representatives Need to Know

Your Duty as Representative

In participant-directed programs, usually the participant (the person receiving services) is the employer. It is not unusual, however, for the participant to be unable or unwilling to serve as the employer. In those cases, the participant will designate a "representative" to serve as the employer. If you are designated as an authorized representative, you have a *fiduciary* duty to the participant. "Fiduciary" means you must always act in the best interest of the participant and not in your own interest. Program funds must always be spent for the participant's benefit, not your own benefit.

Hiring and Training Employees

If the participant is likely to injure himself or others, you have a duty to warn employees of the risk and instruct them how to best handle it. Make sure to hire only employees who can deal with situations that arise. Ask them to confirm that they understand the risks and are willing and able to handle them.

If you are a parent, you must exercise reasonable care to control your minor child as best as you can, even if you are not listed as an authorized representative for the child. It is important to hire employees who are able to deal with any risks they may encounter when caring for your child. You should warn employees ahead of time of risks, and explain how to best handle situations that may to come up.

Mandatory Reporter Duty

As an authorized representative, you may have a legal duty to report to the authorities if you suspect or notice that the participant is being abused by a family member, an employee, or some other person. Many states have "mandatory reporter" laws that could require you to report abuse of a child, an elderly adult or a person with a disability. You may have a duty to report the abuse even if the abuser is a member of your own family or the participant's family.

National Resource Center for Participant-Directed Services

Worker's Compensation Insurance

It is important to maintain a worker's compensation insurance policy, because such insurance will pay for claims if an employee is injured on the job.

If an employee is injured while at work, the employer is liable even if the injury is not the employer's fault. For example, if your employee drives to the grocery store on your behalf and is injured when a careless driver hits her car, the employee could ask you for compensation even though you could not have prevented the accident. This is because employers have to compensate employees for injuries sustained on the job. A worker's compensation insurance policy will pay for such claims.

Liability Insurance

Worker's compensation will pay when your employee is injured, but what happens when someone else is injured? As an employer you may be liable when your employee injures someone else, even if the injury is not your fault. For example, if your employee causes a car accident while driving you to an appointment and injures a third party, the third party could sue you because your employee caused the accident while on the job.

Employment-related claims like wrongful termination, discrimination, or defamation are another source of liability that is not covered by worker's compensation insurance.

Some homeowner's, renter's, or liability insurance policies will cover such claims. However the terms of insurance policies vary, so you should read the terms and consult with an insurance agent before you start your participant direction program. You may consider an addition to your homeowner's or renter's policy, or a separate liability insurance policy, to be covered for liability risks related to domestic employees.

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